

Pushdown Automata (PDA)

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Algorithms for NLP Course.
7-11

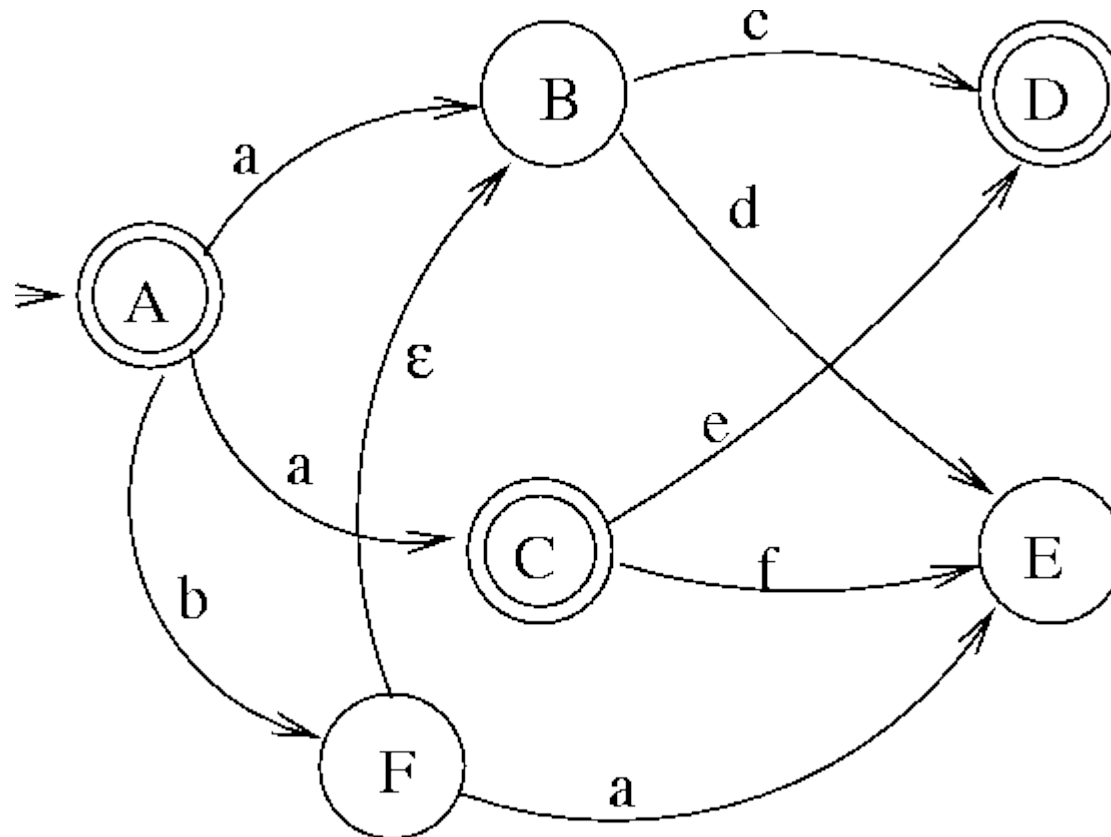
CarnegieMellon

Review from last day

- CFGs and CFLs
 - CFGs. Context-free grammars when its production rules can be applied regardless of the context of a nonterminal.
 - CFLs. Context-free languages. Languages recognized by CFGs.

Review from last month

- FSAs: finite state automata
 - A finite-state automaton is a device that can be in one of a finite number of states



Pushdown Automata (PDA)

- PDAs: a more powerful computation device that can recognize CFLs.
- PDA: a ϵ -NFA with a “**stack**” which serves as “memory”, and store a string of stack symbols.
- LR \leftrightarrow FSA
- CFL \leftrightarrow PDA
- The general non-deterministic version accepts ALL CFLs.
- The deterministic version accepts only a subsets of the CFLs.

Formal definition of a PDA

- $M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$

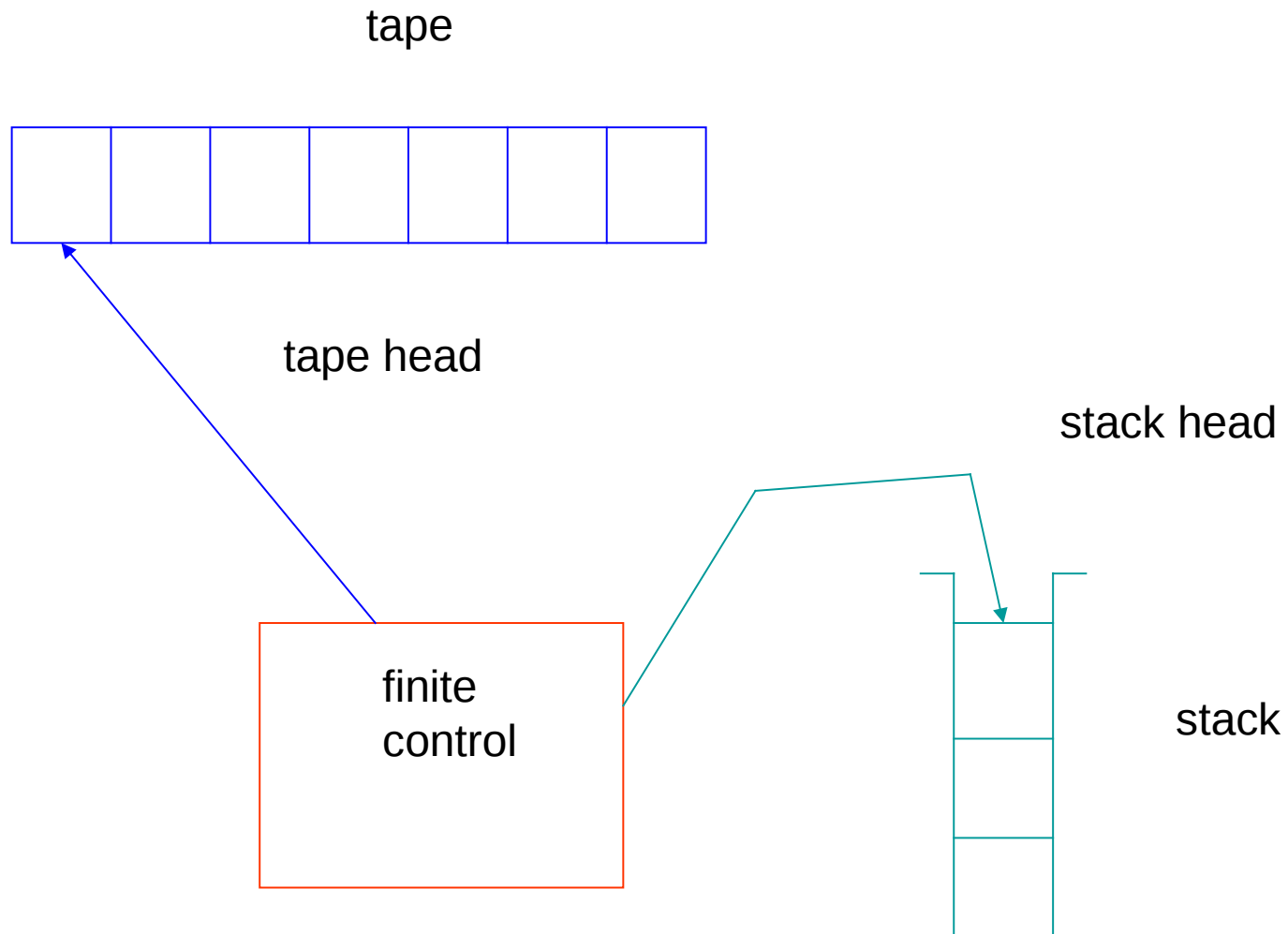
Q, Σ, q_0, F are the same as in FSAs. Which is?

Γ : set of stack symbols (finite)
(denoted using X, Y, Z)

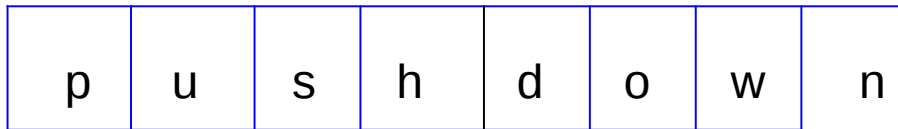
z_0 : start stack symbol.

$\delta : Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow 2^{Q \times \Gamma^*}$ transitions

Pushdown Automata (PDA)

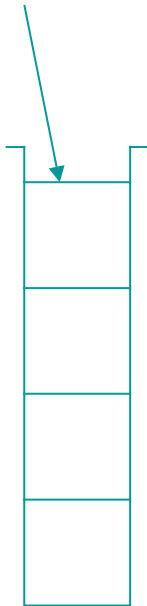


Pushdown Automata (PDA)



The **tape** is divided into finitely many cells.
Each cell contains a symbol in an alphabet Σ .

Pushdown Automata (PDA)



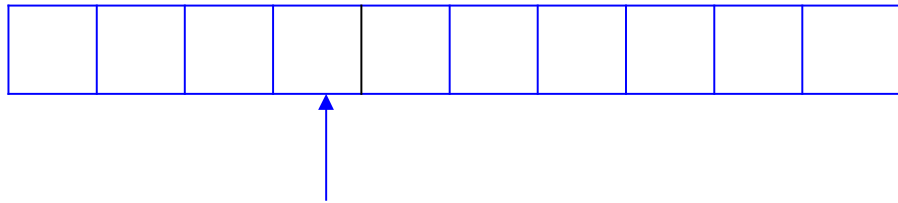
The **stack** head always scans the top symbol of the stack. It performs two basic operations:

Push: **add** a new symbol at the top.

Pop: **read** and **remove** the top symbol.

Alphabet of stack symbols: Γ

Pushdown Automata (PDA)



- The **head/pointer** scans at a cell on the **tape** and can *read* a symbol on the cell. In each move, the head can move to the right cell.

Pushdown Automaton (PDA)

- Transitions depends on
 - **Current state.**
 - **Input.**
(these two, the same as FSAs)
 - **Top of the stack.**
(which is the new thing)

Pushdown Automaton (PDA)

- After each transition:
 - **New state.**
 - **Pop the stack : ϵ**
 - **And push the stack with new symbol.**

Pushdown Automaton (PDA)

- In one transition the PDA may do the following:
 - Consume the input symbol. If ϵ is the input symbol, then no input is consumed.
 - Go to a new state, which may be the same as the previous state.
 - Replace the symbol at the top of the stack by any string.
 - If this string is ϵ then this is a **pop** of the stack
 - The string might be the same as the current stack top (does **nothing**)
 - Replace with a new string (**pop** and **push**)
 - Replace with multiple symbols (multiple **pushes**)

PDA. Simple example

$$L = \{0^n 1^n \mid n \geq 0\}.$$

– Grammar for L:

$$S \rightarrow 0 S 1 \mid \epsilon$$

- L is not regular. (see 9/8 by Chris)
 - If it is not regular a finite automaton is unable to recognize this language because it cannot store an arbitrarily large number of values in its finite memory.
- **Is there a PDA for L?**

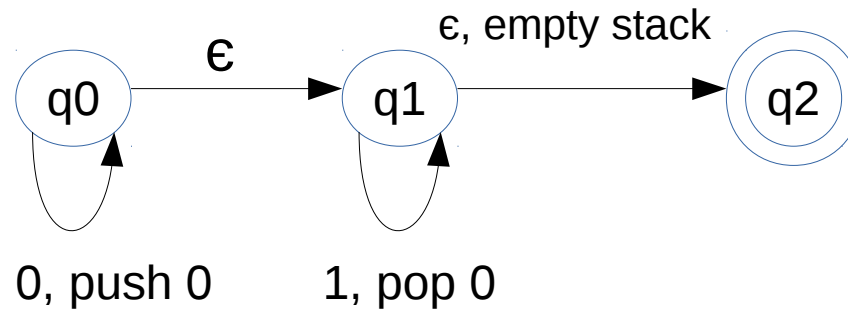
PDA. Simple example

$$L = \{0^n 1^n \mid n \geq 0\}.$$

- A PDA is able to recognize this language!
 - Can use its stack to store the number of 0's it has seen.
 - As each 0 is read, **push** it onto the stack
 - As soon as 1's are read, **pop** a 0 off the stack
 - If reading the input is finished exactly when the stack is empty, **accept** the input else **reject** the input

PDA. Simple example

$$L = \{0^n 1^n \mid n \geq 0\}.$$



- q0: push 0.
- q1: pop all 0s while reading 1s.
- q2: accept when we have an empty stack.

Some examples

PDA. Informal example

- $L = \{ w w^r \mid w \in \{a,b\}^* \}$

This language is often referred as “w-w-reverse”, is the even-length *palindromes* over alphabet $\{a,b\}$

CFG:

$$P \rightarrow \epsilon$$

$$P \rightarrow bPb$$

$$P \rightarrow aPa$$

PDA. Informal example

- $L = \{ w w^r \mid w \in \{a,b\}^* \}$

PDA?

- Start in a state **q0** that represents that we have not seen the end of w . While in **q0**, read symbols and store them on the stack.

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PDA?

- Start in a state **q0** that represents that we have not seen the end of w . While in **q0**, read symbols and store them on the stack.
- At any time, we may go to a state **q1**, signifying that we are at the end of w . Since the automaton is not deterministic, we actually make both guesses: (1) stay in **q0** or (2) go to **q1**.

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PDA?

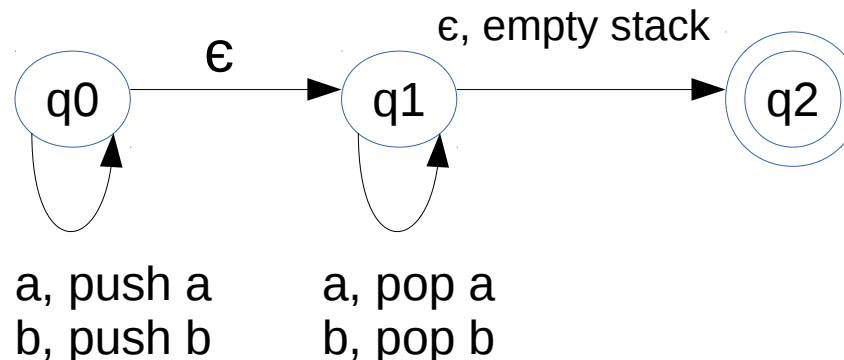
- Start in a state **q0** that represents that we have not seen the end of w . While in q_0 , read symbols and store them on the stack.
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- Once in **q1**, we compare input symbols with the symbol at the top of the stack.
 - If they match, we consume the input symbol, pop the stack, and proceed.
 - If they do not match, this branch dies, although other branches of the nondeterministic automaton may reach the acceptance.

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PDA?

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- Once in **q1**, we compare input symbols with the symbol at the top of the stack.
 - If they match, we consume the input symbol, **pop the stack**, and proceed.
 - If they do not match, this branch dies, although other branches of the nondeterministic automaton may reach the acceptance.
- If we empty the stack, then we have indeed seen $w w^r$. We accept the input



Formal definition of a PDA

- $M = (Q, \Sigma, \Gamma, \delta, q_0, z_0, F)$

Q, Σ, q_0, F are the same as in FSAs.

Γ : set of stack symbols (finite)
(denoted using X, Y, Z)

z_0 : start stack symbol.

$\delta : Q \times (\Sigma \cup \{\epsilon\}) \times \Gamma \rightarrow 2^{Q \times \Gamma^*}$ transitions

Formal definition of a PDA

- Q : a finite set of states (like in finite automaton)
- Σ : a finite set of input symbols (like in finite automaton)
- Γ : a finite stack alphabet. The components that we are allowed to push onto the stack.
- δ : the transition function $\delta(q,a,X)$ where:
 - q is a state in Q .
 - a is either an input symbol in Σ or $a = \epsilon$
 - $X \in \Gamma$ (X is a stack symbol)
- q_0 : the start state.
- Z_0 : the start symbol,
- F : the set of accepting states.

Example - Formal

- Let's describe the PDA of L_{wwr}
- $L = \{ w wr \mid w \in \{a,b\}^* \}$
 $P = (\{q_0, q_1, q_2\}, \{a,b\}, \{a,b,Z_0\}, \delta, q_0, Z_0, \{q_2\})$

and δ is as follows:

1

$$\delta(q_0, a, Z_0) = \{(q_0, aZ_0)\}$$

$$\delta(q_0, b, Z_0) = \{(q_0, bZ_0)\}$$

One of these rules applies initially when we are in q_0 and we see the start symbol at the top of the stack.

Example - Formal

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2

$$\delta(q_0, a, a) = \{(q_0, aa)\}, \delta(q_0, b, b) = \{(q_0, bb)\},$$

$$\delta(q_0, b, a) = \{(q_0, ba)\}, \delta(q_0, a, b) = \{(q_0, ab)\}$$

These four similar rules allow us to stay in q_0 and read inputs, pushing each onto the top of the stack, and leaving the previous stack symbol alone.

Example - Formal

- Let's describe the PDA of L_{wwr}

$P = (\{q_0, q_1, q_2\}, \{a, b\}, \{a, b, Z_0\}, \delta, q_0, Z_0, \{q_2\})$

and δ is as follows:

1

$$\delta(q_0, 0, Z_0) = \{(q_0, 0Z_0)\}$$

$$\delta(q_0, 1, Z_0) = \{(q_0, 1Z_0)\}$$

2

$$\delta(q_0, a, a) = \{(q_0, aa)\}, \delta(q_0, b, b) = \{(q_0, bb)\},$$

$$\delta(q_0, b, a) = \{(q_0, ba)\}, \delta(q_0, a, b) = \{(q_0, ab)\}$$

3

$$\delta(q_0, \epsilon, Z_0) = \{(q_1, Z_0)\}, \delta(q_0, \epsilon, a) = \{(q_1, a)\} \text{ and } \delta(q_0, \epsilon, b) = \{(q_1, b)\}$$

These three rules allow us to go from q_0 to state q_1 spontaneously (on ϵ input)

Example - Formal

- Let's describe the PDA of L_{wwr}
 $P = (\{q_0, q_1, q_2\}, \{a, b\}, \{a, b, Z_0\}, \delta, q_0, Z_0, \{q_2\})$

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4

$$\delta(q_1, a, a) = \{(q_1, \epsilon)\}, \delta(q_1, b, b) = \{(q_1, \epsilon)\}$$

In state q_1 we can match input symbols against the top of the stack and **pop** when they match.

Example - Formal

- Let's describe the PDA of L_{wwr}

$P = (\{q_0, q_1, q_2\}, \{a, b\}, \{a, b, Z_0\}, \delta, q_0, Z_0, \{q_2\})$

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4

$\delta(q_1, a, a) = \{(q_1, \epsilon)\}$, $\delta(q_1, b, b) = \{(q_1, \epsilon)\}$

5

$\delta(q_1, \epsilon, Z_0) = \{(q_2, Z_0)\}$

Finally, if we see the bottom of the stack marker Z_0 and we are in state q_1 we have found a palindrome wwr . We, thus go to q_2 and accept.

Example - Formal

- Let's describe the PDA of L_{wwr}

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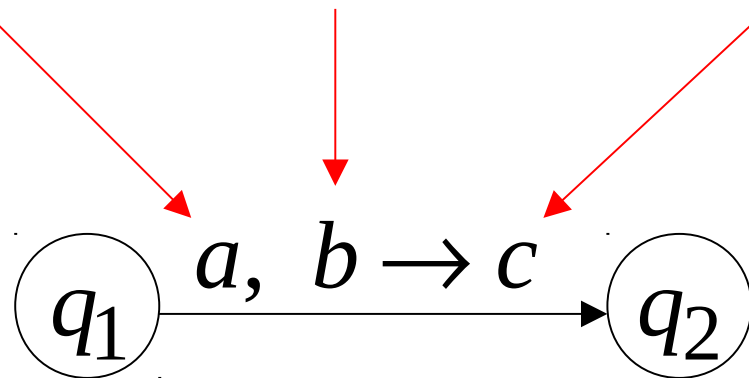
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Pushdown Automata (PDA)

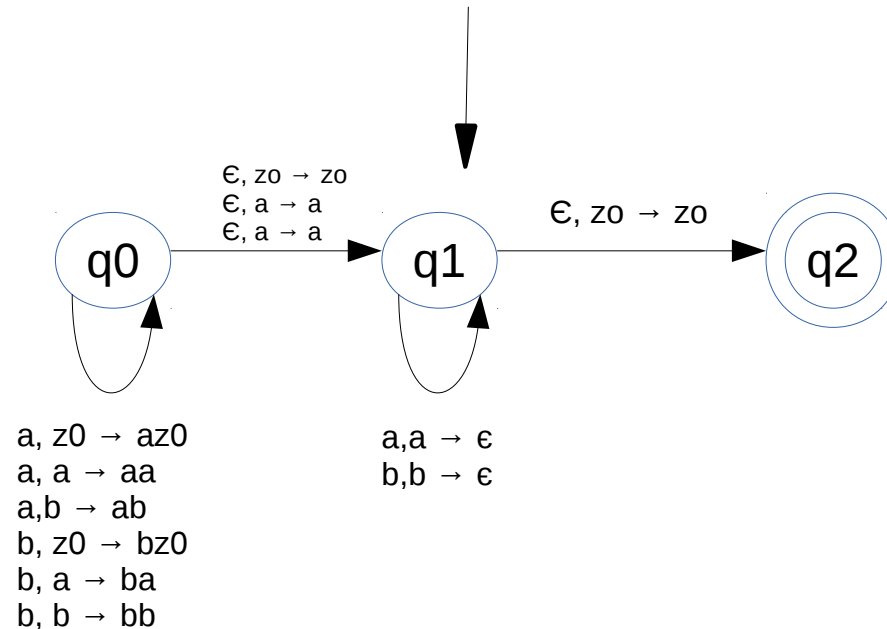
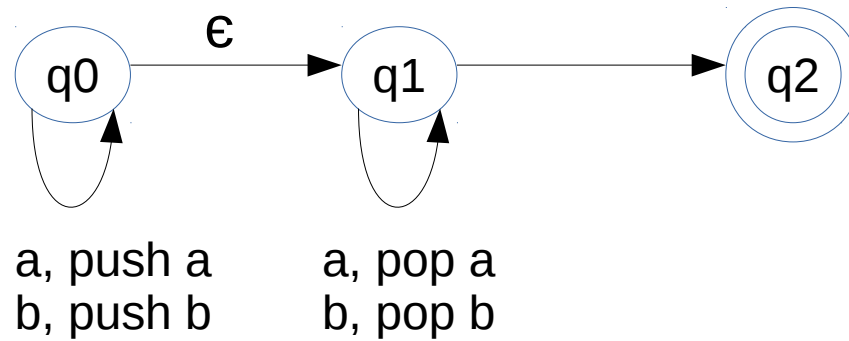
Input symbol Pop symbol Push symbol



Transition

Pushdown Automata (PDA)

$$L = \{ w wr \mid w \in \{a,b\}^* \}$$



More examples

See blackboard.

Two different kind of PDAs

- Empty stack PDA:
 - Sometimes, it is easier to design a PDA that accepts if and only if it is capable of having an empty stack.
- Final state PDA.

Two different kind of PDAs

- Empty stack PDA:
 - Sometimes, it is easier to design a PDA that accepts if and only if it is capable of having an empty stack.
- Final state PDA.

If there is a PDA P that accepts a language by **final state**, then there is another PDA P' that accepts the same language by **empty stack**.

Normally $P \neq P'$

Translation between Empty Stack PDA to Final State PDA.

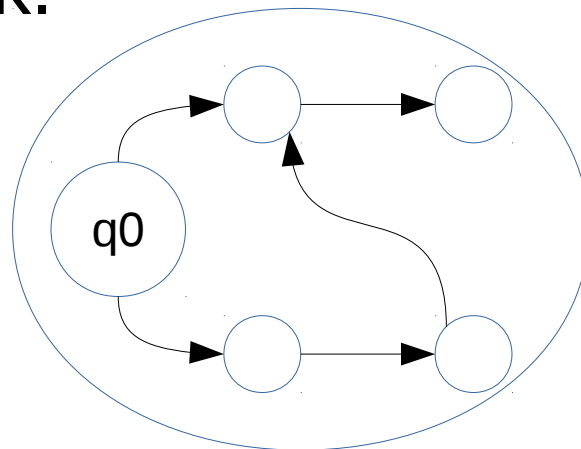
- Let P_v be a PDA that accepts by empty stack.

$$P_v = (Q, \Sigma, \Gamma, \delta, q_0, z_0)$$

- Then, we define

$$P_f = (Q \cup \{q_0^F, q^F\}, \Sigma, \Gamma \cup \{z_0^F\}, \delta^F, q_0, z_0, \{q^F\})$$

that accepts by final state the same strings as P_v by empty stack.



Translation between Empty Stack PDA to Final State PDA.

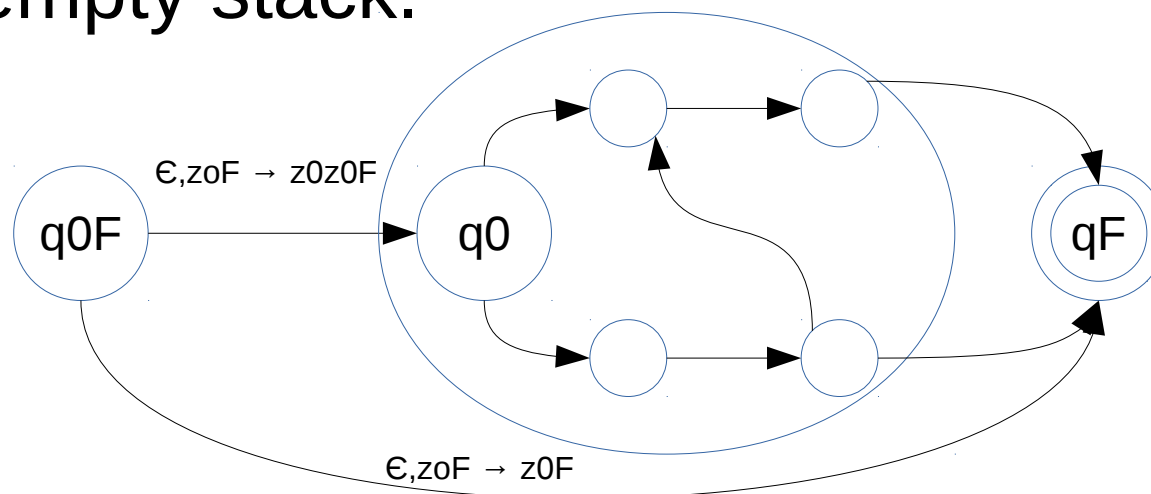
- Let P_v be a PDA that accepts by empty stack.

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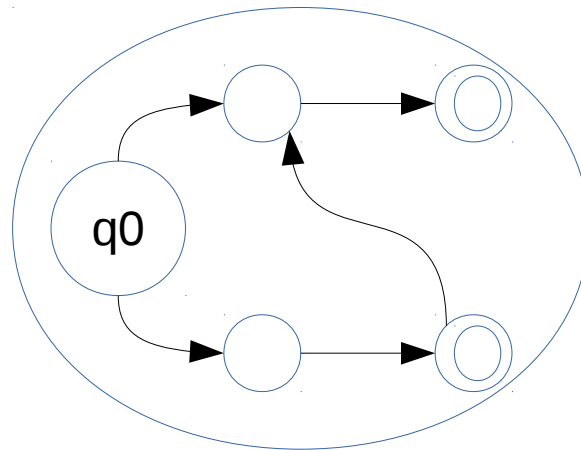
$$P_f = (Q \cup \{q_{0F}, q_F\}, \Sigma, \Gamma \cup \{z_{0F}\}, \delta^F, q_0, z_0, \{q_F\})$$

that accepts by final state the same strings as P_v by empty stack.



Translation between Final State PDA to Empty Stack PDA.

- We need a state that is in charge of making the stack empty.



Equivalence of PDA's and CFG's

- Let's demonstrate that the languages defined by PDAs are exactly CFLs.
- Let's prove that these three are the same:
 1. CFLs (languages defined by CFGs).
 2. Languages accepted by final state by some PDA.
 3. Languages accepted by empty stack by some PDA.

Overview

- When we talked about closure properties of regular languages, it was useful to be able to jump between RE and DFA representations.
- Similarly, CFG's and PDA's are both useful to deal with properties of the CFL's.

Overview – (2)

- Also, PDA's, being “algorithmic,” are often easier to use when arguing that a language is a CFL.
- **Example:** It is easy to see how a PDA can recognize balanced parentheses; not so easy as a grammar.
- But all depends on knowing that CFG's and PDA's both define the CFL's.

Equivalence of PDA's and CFG's

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- Let's prove that these three are the same:
 1. CFLs (languages defined by CFGs).
 2. Languages accepted by final state by some PDA.
 3. Languages accepted by empty stack by some PDA.
- We have already shown that 2 and 3 are the same.

Converting a CFG to a PDA

- Let $L = L(G)$.
- Construct PDA P such that $N(P) = L$.
- P has:
 - One state q .
 - Input symbols = terminals of G .
 - Stack symbols = all symbols of G .
 - Start symbol = start symbol of G .

Intuition About the PDA P

- Given input w , P will step through a leftmost derivation of w from the start symbol S .
- Since P can't know what this derivation is, or even what the end of w is, it uses nondeterminism to “guess” the production to use at each step.

Intuition About the PDA P – (2)

- At each step, P represents some *left-sentential form* (step of a leftmost derivation).
- If the stack of P is α , and P has so far consumed x from its input, then P represents left-sentential form $x\alpha$.
- At empty stack, the input consumed is a string in $L(G)$.

From CFG to PDA

- Given a CFG G , we construct a PDA that simulates the leftmost derivations of G .
- Any left sentential form that is not a terminal string can be written as $xA\alpha$, where
 - A is the leftmost variable.
 - x is whatever terminals appear to its left.
 - α is the string of terminals and variables that appear to the right of A .

From CFG to PDA

- Let $G=(V,T,Q,S)$ be a CFG. Construct the PDA P that accepts $L(G)$ by empty stack.

- $P=({q}, T, V \cup T, \delta, q, S)$

- Where δ is defined by:

- For each variable A ,

$$\delta(q, \epsilon, A) = \{(q, \beta) \mid A \rightarrow \beta \text{ is a production of } G\}$$

- For each terminal a ,

$$\delta(q, a, a) = \{(q, \epsilon)\}$$

An example

- See blackboard.

Summary

- Pushdown automata:
 - Like FSAs with an auxiliary stack.
 - Notation.
 - Examples.
 - PDA by final state.
 - PDA by empty stack.
 - Transformations between final state and empty stack, and the other way around.
 - Equivalence of PDA's and CFG's.