



# **(Very) Brief Introduction to Neural Networks**

IITP-03 Algorithms for NLP

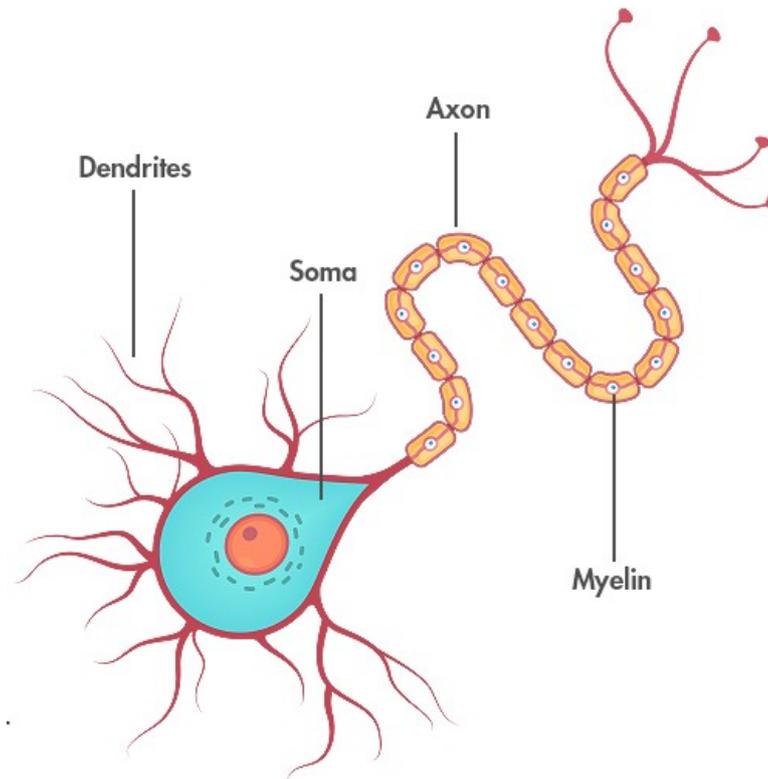


# Learning objectives

- What are neural networks?
- What are *deep* neural networks?
- How do we train neural networks?
- What variants of neural network architectures exist, and are good for?
- What are strengths and weaknesses of neural networks?
- How are neural networks used for NLP?

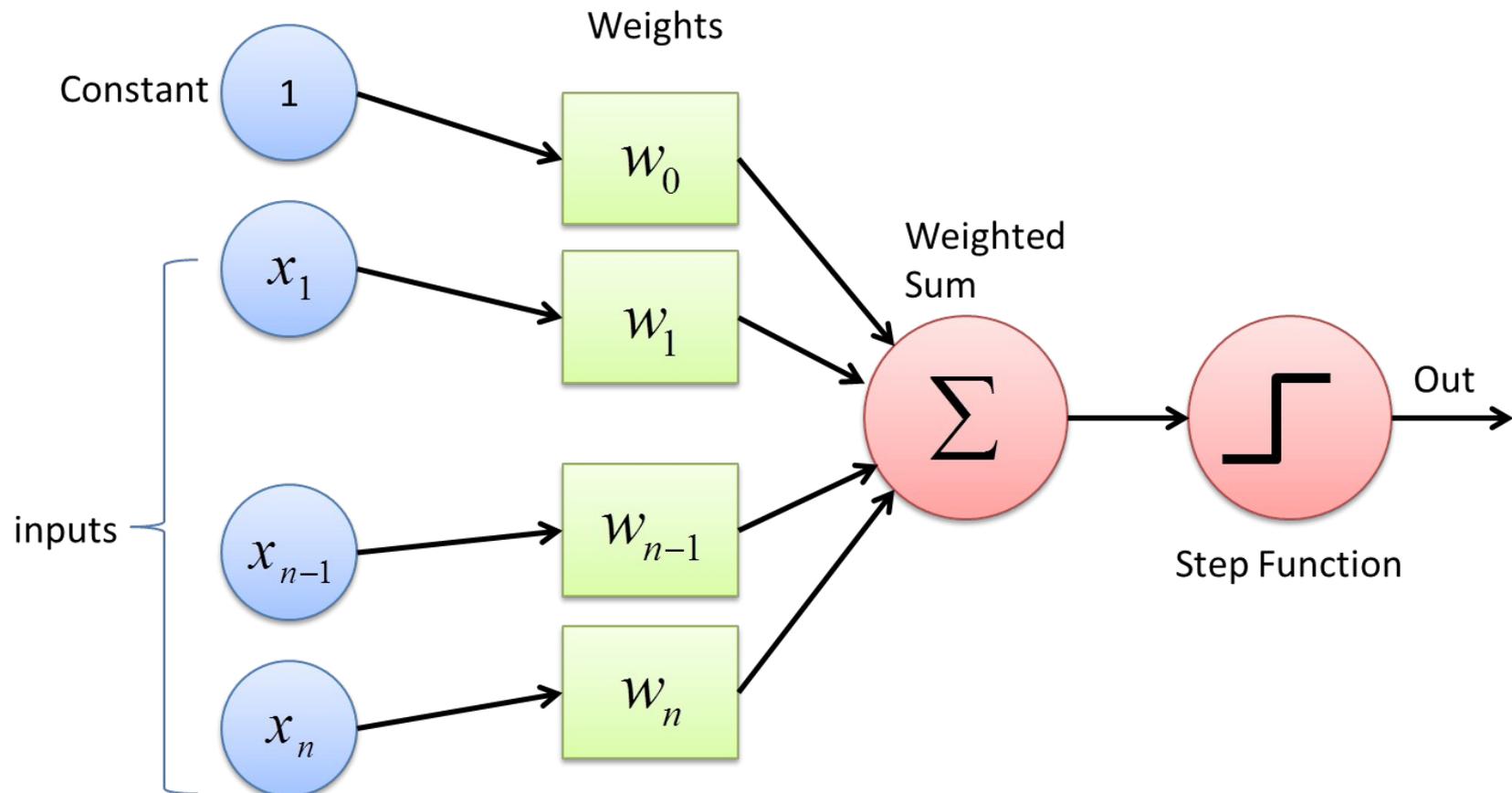
# Neural networks

- Network of *neurons*
  - Electrically excitable nerve cells
- When we talk about neural nets, we're actually referring to Artificial Neural Networks (ANNs)



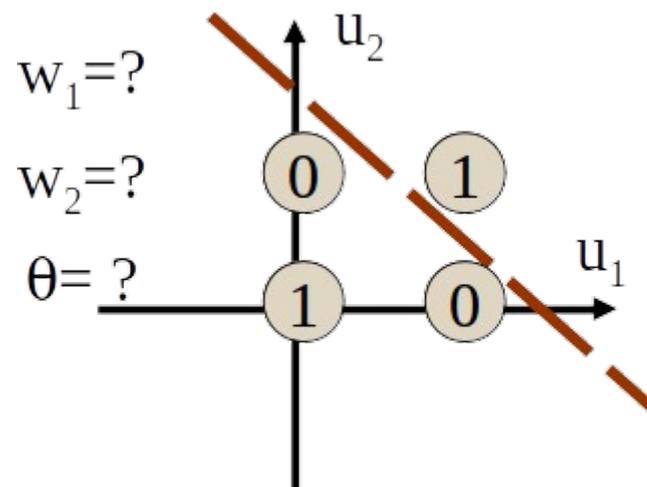
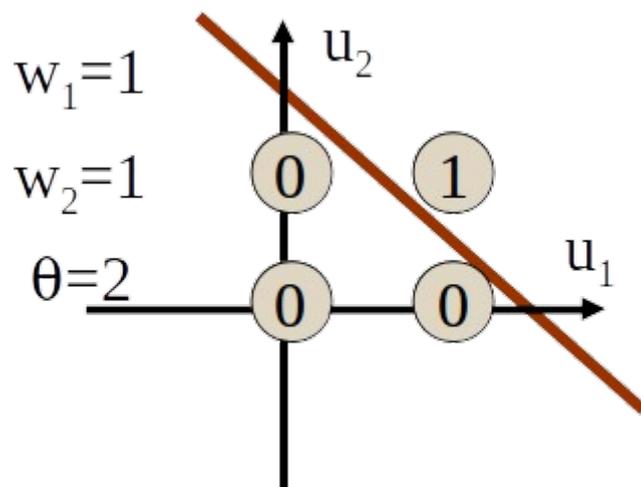
# Perceptron

- Classification algorithm motivated from a single neuron



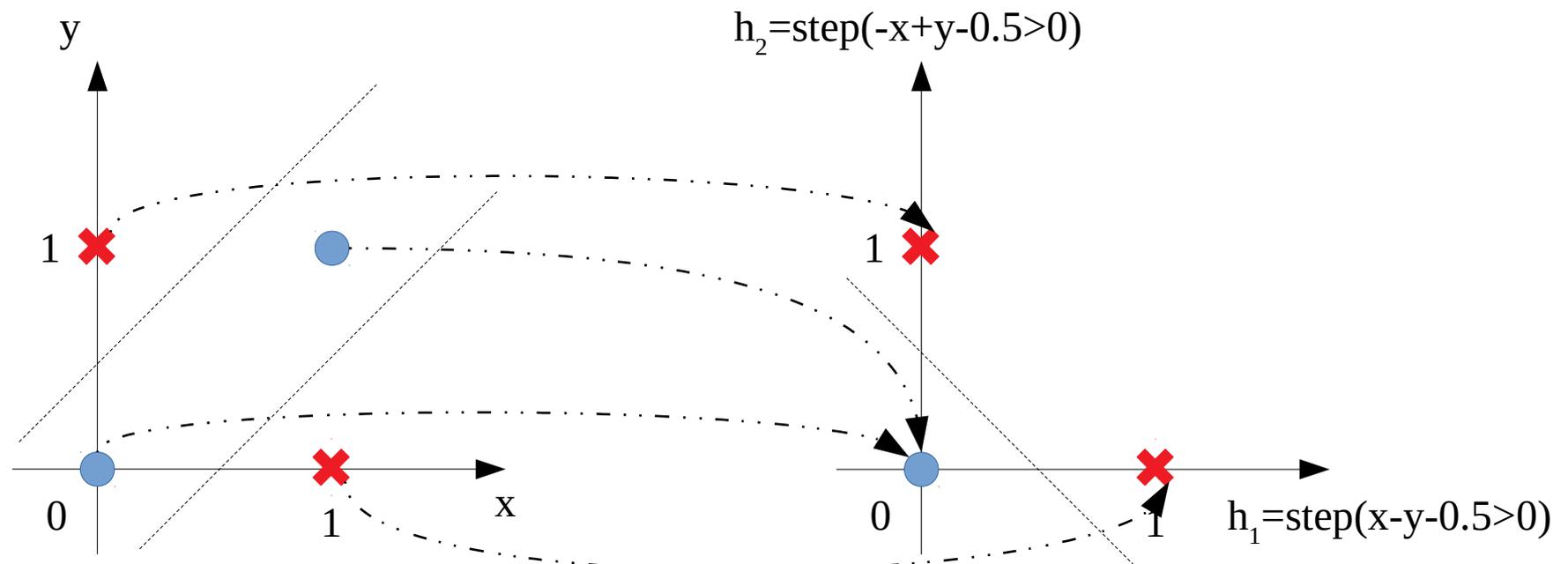
# Perceptron

- Easy to train, but limited expressivity...
- Specifically, could not learn non-linear decision boundaries with a single perceptron
  - XOR problem



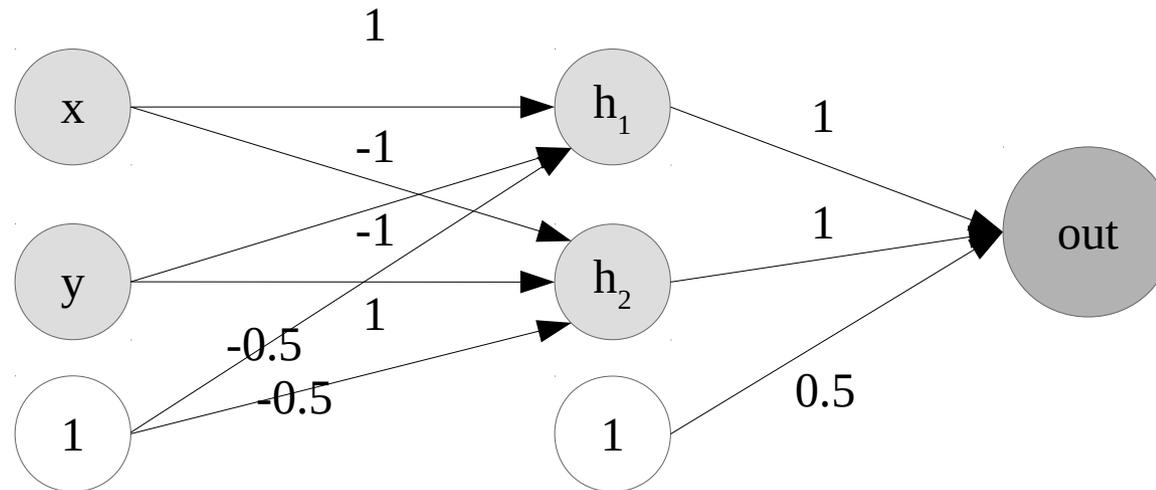
# Multilayer perceptrons

- Instead of using elementary input features, find some feature combinations to use as another set of input features
  - i.e. map to a different feature space!



# Multilayer perceptrons

- This is equivalent to stacking layers of perceptrons



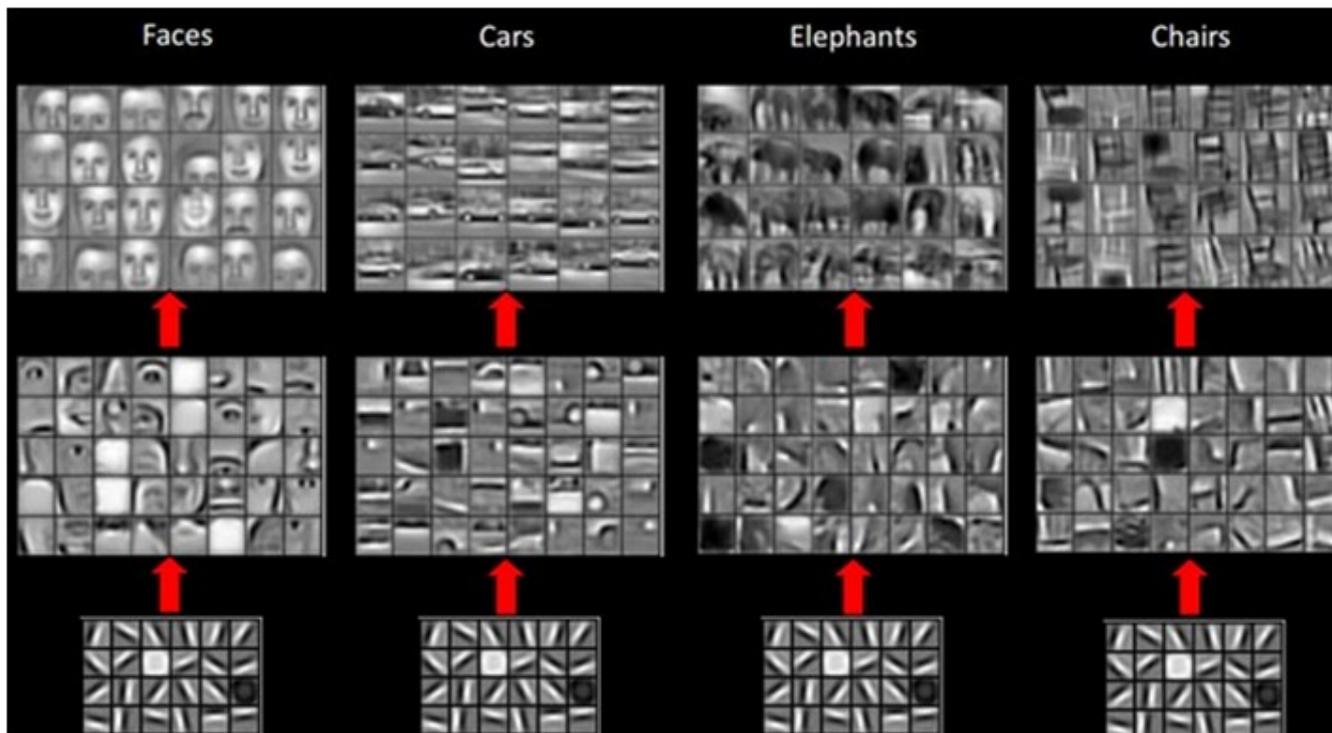
- This is the reason why we sometimes refer to neural network techniques as 'deep learning': instead of *shallow* input-output networks, we use *deep* networks with multiple 'hidden' stacks of layers to automatically recognize features

# Multilayer perceptrons

- The *nonlinearity* at the end of each perceptron output is crucial
  - E.g. step function, sigmoid, tanh, ...
- Without the nonlinearity, stacking whatever number of layers would be equivalent to using just one layer
  - Product of any number of matrices is simply another matrix

# Deep learners are feature extractors

- Each hidden unit in deep neural networks correspond to a combination of features from the lower level
- No need to do explicit feature engineering!

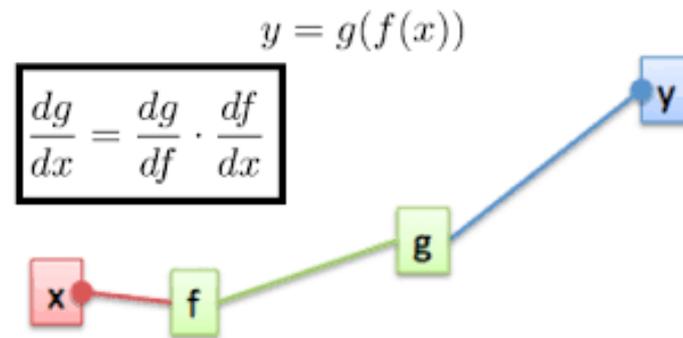


# Training MLPs

- For a single layer of perceptrons, training is simple and intuitive
  - Randomly initialize weights of each unit
  - For instances where the prediction is wrong, adjust weights of corresponding units by some learning step size
- But how do we ‘propagate’ the errors further back, past the penultimate layer?

# Backpropagation

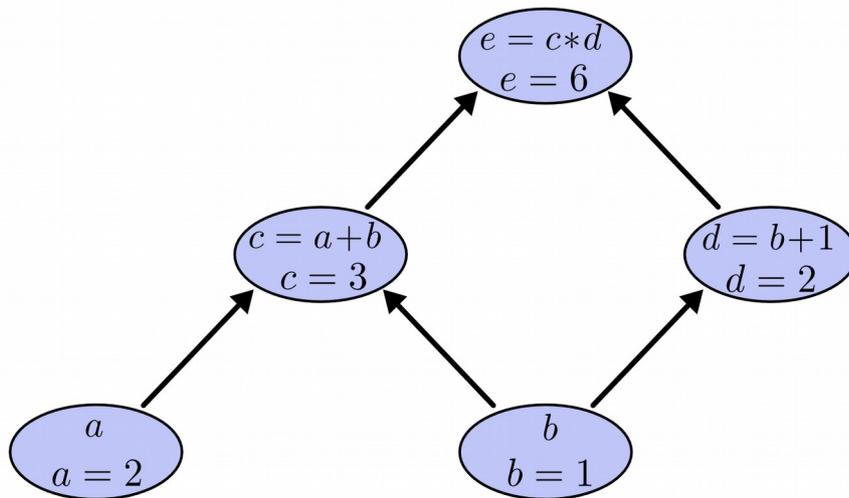
- Computes gradients of the loss function with respect to the network parameters via chain rule



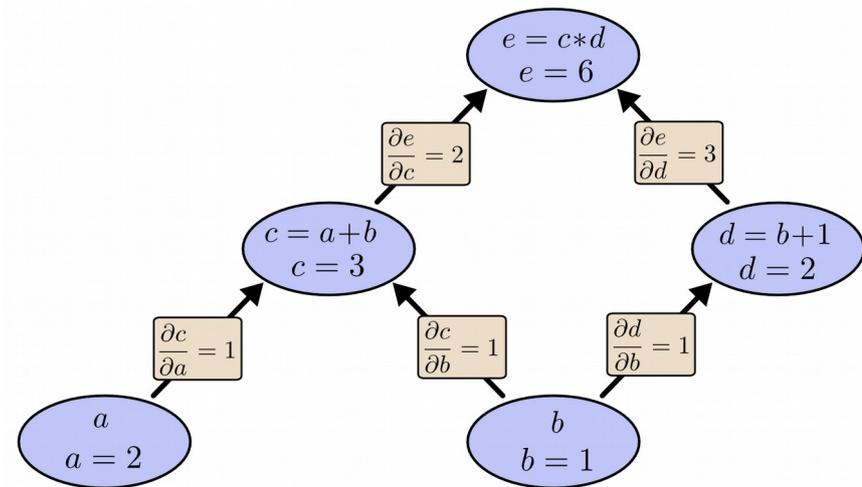
- Independently proposed by various researchers in 1960-70s, but haven't received much attention until...
- Rumelhart, Hinton and Williams (1986) experimentally shows backpropagation actually works, and defines the modern framework

# Backpropagation

- Computation graphs are a nice way to represent and understand backpropagation:



Forward pass: Compute all the intermediate values in the graph



Backward pass: Compute the gradients w.r.t immediate inputs in reverse order

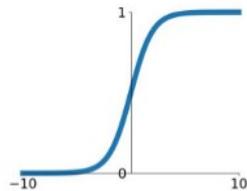
- (In general, you rarely have to implement backpropagation from scratch by yourself...)

# Activation functions

- By design, all the computations involved should be *differentiable* for backpropagation
- This implies our choice of nonlinearity becomes somewhat limited:

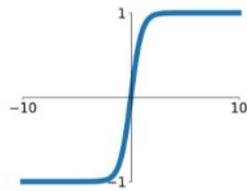
## Sigmoid

$$\sigma(x) = \frac{1}{1+e^{-x}}$$



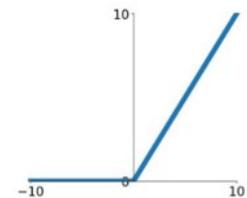
## tanh

$$\tanh(x)$$



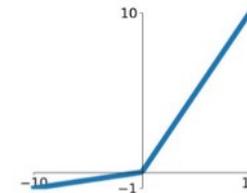
## ReLU

$$\max(0, x)$$



## Leaky ReLU

$$\max(0.1x, x)$$

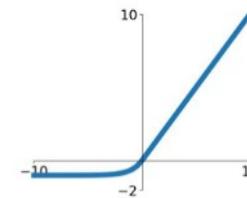


## Maxout

$$\max(w_1^T x + b_1, w_2^T x + b_2)$$

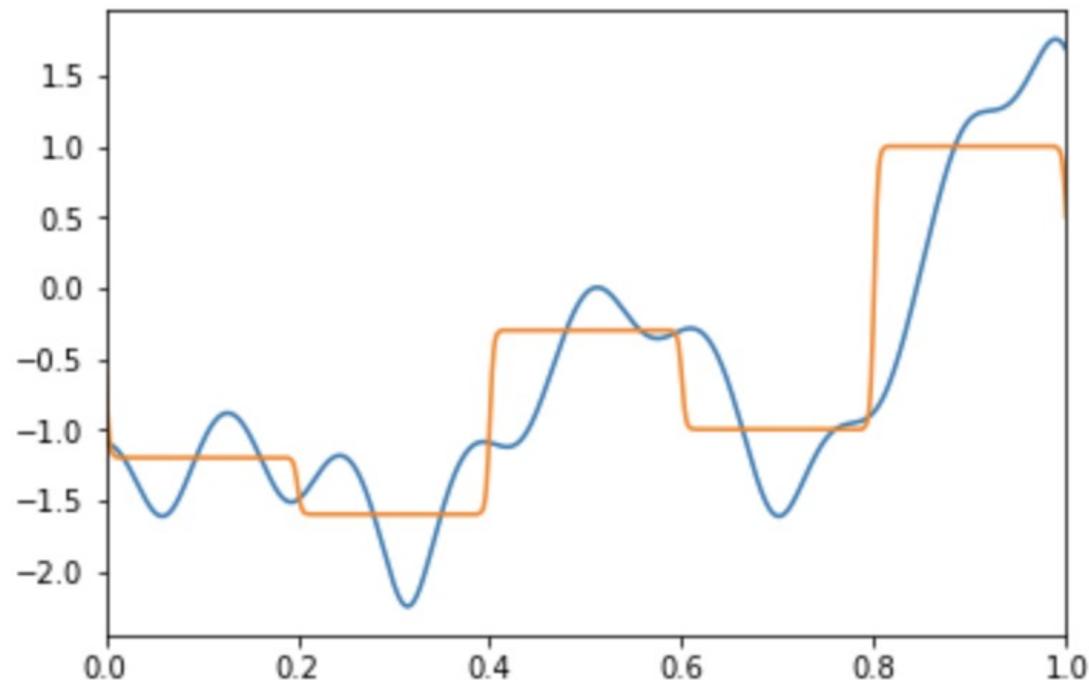
## ELU

$$\begin{cases} x & x \geq 0 \\ \alpha(e^x - 1) & x < 0 \end{cases}$$



# Deep learners are universal approximators

- It is proven that deep NNs with 'enough' number of hidden units and layers can approximate any continuous function

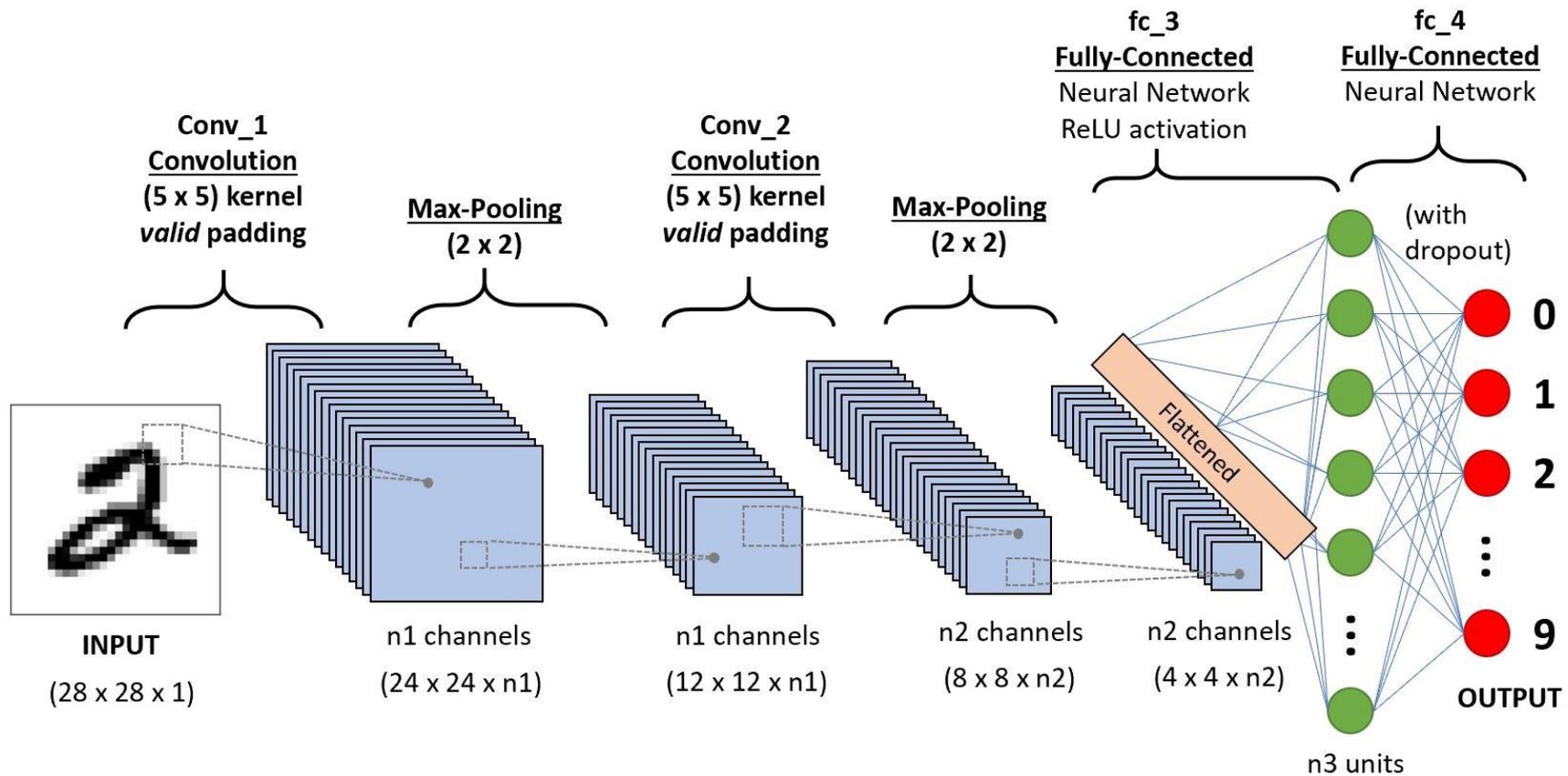


- Of course there are some caveats...

# Convolutional NNs

- For data of extensive scales (like images), it is mostly the case that exact locations where local patterns occur do not really matter
- CNNs (LeCun, 1989) use two types of layers to automatically extract local patterns:
  - Convolutional layer: Identify local patterns with filters
  - Pooling layer: Summarize the result of applying each filter for areas, downsampling the input

# Convolutional NNs

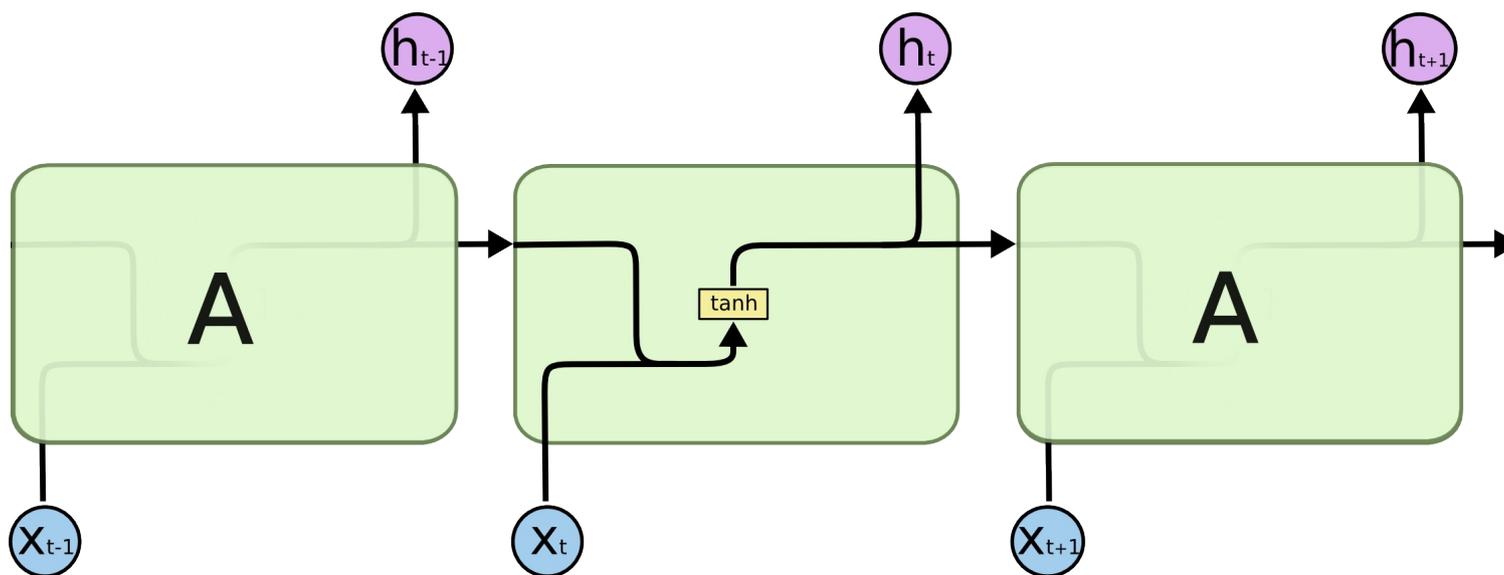


# Recurrent NNs

- What if our data is sequential in nature?
  - E.g. Acoustic waves, natural language sentences
- In some cases, we cannot afford to lose the structural information
  - “It isn’t bad, but not that good”
  - “It isn’t good, but not that bad”
  - When word order matters, simply using bag-of-words here is to lose great amount of information

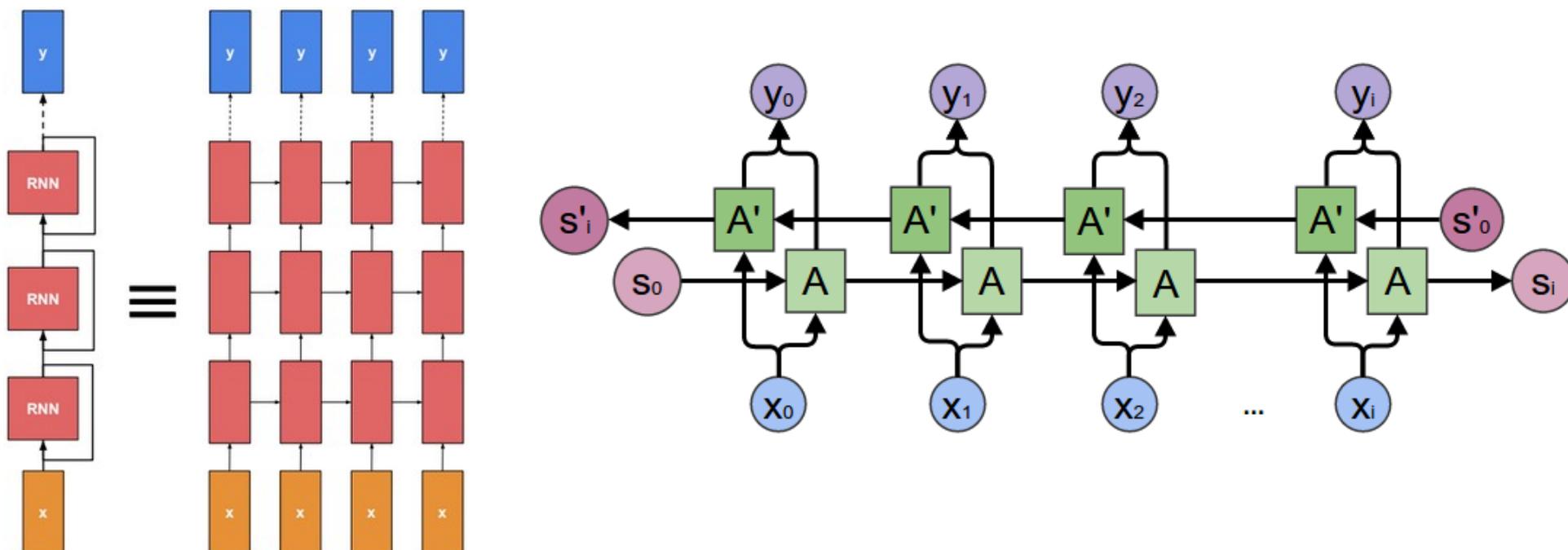
# Recurrent NNs

- In feed-forward NNs, computations flow only forward
- In RNNs, outputs from a hidden layer is fed back to the same layer as input



# Recurrent NNs

- RNNs can be multi-layered or bidirectional



- Of course, comes at a price of larger models



# Recurrent NNs

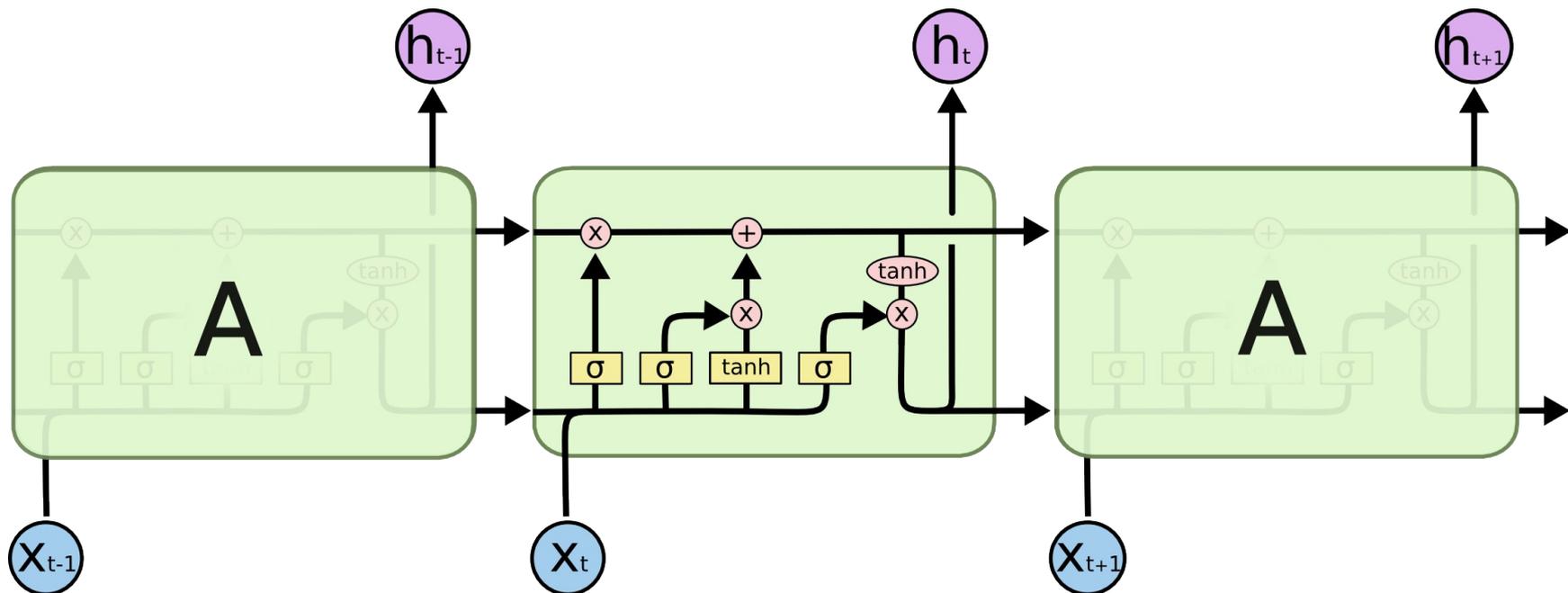
- Naturally, RNNs are heavily used for NLP tasks
  - Document classification
  - Sequence tagging
  - Sequence transduction
  - And so many more...

# Gated RNNs

- RNNs are also trained by backpropagation, on the unrolled computation graphs
- However, the multiplicative nature of backpropagation algorithm causes a problem
  - Same terms are multiplied so many times, the gradients may explode, or worse, vanish
- As a result, despite the promise, simple RNNs cannot capture longer-distance relationships

# Gated RNNs

- As a solution, gated architectures use a cell state that works somewhat like a 'conveyer belt'
  - Long Short-Term Memory (Hochreiter, 1997)



- Again, don't worry about implementations :)

# Word embeddings

- An especially useful neural network technique for NLP tasks
- Dense low-dimensional representation of words (instead of sparse high-dimensional)
- Based on distributional semantics
  - “You shall know a word by the company it keeps” (J. R. Firth, 1957)
  - Words that occur in similar contexts have similar representations

# Word embeddings

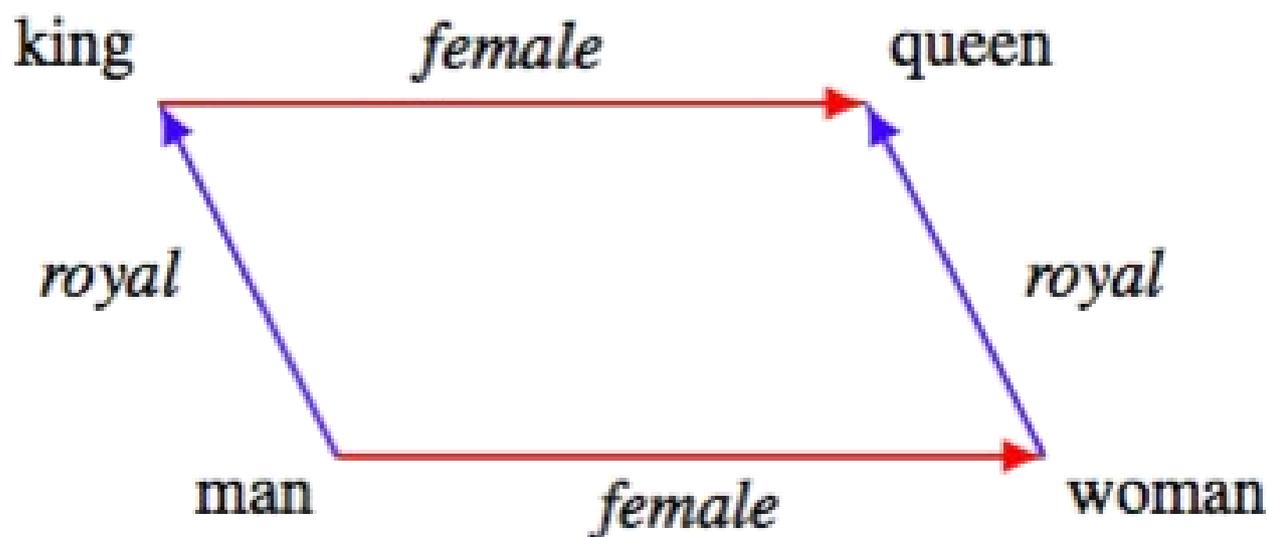
- Word embedding simply refers to the idea of representing a word with dense vectors
  - We can think of sentence, character, morpheme embeddings as well
- Obtained from some unsupervised tasks like language modeling
- Compared to random initialization, pre-trained word embeddings are known to boost performance of most neural NLP systems by a significant margin

# Word embeddings

- Power of distributional representations
  - Models can ‘notice’ similar words
  - Sparsity problem can be (partially) resolved
  - Markov assumption can be relaxed
  - Allows flexible generative modeling
- Note that neural methods don’t have to use distribution representations, and vice versa
  - It’s just that they work together SO well

# Word embeddings

- An obligatory example:
  - $king - man + woman = queen$



- But are they really learning semantics?

# Word embeddings

- Many words are polysemous, and their meanings may vary in different contexts
  - “He went to the prison cell with his cell phone to extract blood cell samples from inmates”
- Contextual word embeddings like ELMo or BERT can take the context into account
  - Embeddings are defined for each word token, not word type
  - Most of the current state-of-the-art NLP systems are based on BERT

# Weaknesses of NNs

- Excessively data-hungry
  - NNs tend to overfit, and not generalize well on new instances
  - Need large amounts of examples to show the ‘impressive’ performance
  - Naturally, require huge amounts of computational resources
- Highly non-interpretable
  - In most cases, we have ZERO idea about what each parameter in neural networks actually represents

# Some neural net practicalities

- Gradient descent
  - The gradients obtained from backward passes can be applied by various GD optimizers
  - e.g. SGD, RMSprop, Adagrad, Adam...
- Mini-batch GD
  - Between batch GD & online (stochastic) GD
  - Stable convergence, efficient computation

# Some neural net practicalities

- Weight initialization
  - Turns out, initializing with random weights without consideration can be very bad
  - Use initialization techniques like Xavier initialization or Kaiming initialization
- Regularization by dropout
  - Randomly disabling some units can prevent co-adaptation
  - Acts as regularization for NNs



# Some references

- Great summary on the high-level history of deep learning
  - <https://www.andreykurenkov.com/writing/ai/a-brief-history-of-neural-nets-and-deep-learning/>
- Blog with lots of introductory NN & ML posts
  - <https://colah.github.io/>